

Docket No. 217865US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Haruji SAWADA, et al.

SERIAL NO: 10 031,569

GAU:

FILED: January 22, 2002

EXAMINER:

FOR: CHOLESTEROL-LOWERING AGENTS, SECONDARY BILE ACID PRODUCTION INHIBITORS AND FOODS AND DRINKS

**INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Sheet 1 of 1

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Group Art Unit:

STATEMENT OF RELEVANCY

**References AD through AS on Form 1449:**

These references are discussed in the specification.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 217865US0PCT		SERIAL NO. 10/031,569	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Haruji SAWADA, et al.			
				FILING DATE January 22, 2002		GROUP	
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AA	3-30667	02/08/91	JAPAN (submitting English Abstract only)			
	AB	8-298982	11/19/96	JAPAN (submitting English Abstract only)			
	AC	50-68867	06/09/75	JAPAN (submitting English Abstract only)			
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AD	E. G. OFFENBACHER, et al., Diabetes, Vol 29, pps. 919-925, "BENEFICIAL EFFECT OF CHROMIUM-RICH YEAST ON GLUCOSE TOLERANCE AND BLOOD LIPIDS IN ELDERLY SUBJECTS", November 1980					
	AE	E. G. OFFENBACHER, et al., The American Journal of Clinical Nutrition, Vol. 42, no. 3, pps. 454-461, "THE EFFECTS OF INORGANIC CHROMIUM AND BREWER'S YEAST ON GLUCOSE TOLERANCE, PLASMA LIPIDS, AND PLASMA CHROMIUM IN ELDERLY SUBJECTS <sup>1,4</sup> ", September 1985					
	AF	A. T. HOSTMARK, et al., The Journal of Nutrition, Vol. 109, No. 6, pps. 1073-1078, "PLASMA LIPID AND LIPOPROTEIN RESPONSES OF RATS TO STARCH AND SUCROSE DIETS WITH AND WITHOUT BREWER'S YEAST <sup>1</sup> ", 1978					
	AG	J. DeABREU, et al., Archivos Latino Americanos De Nutricion, Vol. 44, No. 1, pps. 18-22, "EFFECT OF ADDITION OF BREWER'S YEAST TO SOY PROTEIN AND CASEIN ON PLASMA CHOLESTEROL LEVELS OF RABBITS", 1994					
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	AK	H. TSUDA, et al., Gann, Vol. 75, No. 10, pps. 871-875, "PROMOTIVE EFFECT OF PRIMARY AND SECONDARY BILE ACIDS ON THE INDUCTION OF $\gamma$ -GLUTAMYL TRANSPEPTIDASE-POSITIVE LIVER CELL FOCI AS A POSSIBLE ENDOGENOUS FACTOR FOR HETATOCARCINOGENESIS IN RATS", October 1984					
	AL	T. MAKINO, et al., J. Natl. Cancer Inst., Vol. 76, No. 5, pps. 967-975, "EFFECTS OF PHENOBARBITAL AND SECONDARY BILE ACIDS ON LIVER, GALLBLADDER, AND PANCREAS CARCINOGENESIS INITIATED BY N-NITROSOBIS(2-HYDROXYPROPYL)AMINE IN HAMSTERS <sup>1,2</sup> ", May 1986					
	AM	B. S. REDDY, et al., Cancer Research, Vol. 37, pps. 3238-3242, "PROMOTING EFFECT OF BILE ACIDS IN COLON CARCINOGENESIS IN GERM-FREE AND CONVENTIONAL F344 RATS <sup>1</sup> ", September 1977					
	AN	S. N. MARCUS, et al., Gut, Vol. 29, No. 4, pps. 522-533, "DEOXYCHOLIC ACID AND THE PATHOGENESIS OF GALL STONES", April 1988					
	AO	T. S. LOW-BEER, et al., The Lancet, Vol. 2, No. 8099, pps. 1063-1065, "COLONIC BACTERIAL ACTIVITY, BILIARY CHOLESTEROL SATURATION, AND PATHOGENESIS OF GALLSTONES", November 18, 1978					
	AP	A. RODA, et al., Journal of Pharmaceutical Sciences, Vol. 81, No. 3, pps. 237-240, "EFFECT OF BASIC CHOLANE DERIVATIVES ON INTESTINAL CHOLIC ACID METABOLISM: IN VITRO AND IN VIVO ACTIVITY," March 1992					
	AQ	F. M. NAGENGAST, et al., European Journal of Clinical Investigation, Vol. 18, pps. 56-61, "INHIBITION OF SECONDARY BILE ACID FORMATION IN THE LARGE INTESTINE BY LACTULOSE IN HEALTHY SUBJECTS OF TWO DIFFERENT AGE GROUPS", 1988					
	AR	J. W. LAMPE, et al., Gut, Vol. 34, pps. 531-536, "SEX DIFFERENCES IN COLONIC FUNCTION: A RANDOMISED TRIAL", 1993					
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Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							